

FOR UTILITY/DESIGN
CIP/PCT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 63 (37 C.F.R. 1.56)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PW
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED
Liposomes Containing Oligonucleotides

the specification of which (CHECK applicable BOX(ES))

X A. ☐ is attached hereto.

BOX(ES) → B. ☒ was filed on _____ as U.S. Application No. _____

→ C. ☐ was filed as PCT International Application No. PCT/ _____ / _____ on _____
and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

Number

Country

Day/MONTH/Year Filed

Date first Laid-

open or Published

Date Patented

or Granted

Priority NOT Claimed

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)

Application No. (series code/serial no.)

Day/MONTH/Year Filed

Status

Priority NOT Claimed

09/354,109

15 July 1999

pending, abandoned, patented

08/957,327

24 October 1997

Pending

Patented

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (703) 905-2000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their Firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary.



00909

USE ONLY FOR
PILLSBURY WINTHROP

(1) INVENTOR'S SIGNATURE:

Date:

Name	Usha	Kasid
First	Middle Initial	Family Name
Residence	Rockville	Maryland
City	State/Foreign Country	Country of Citizenship
Mailing Address	7212 Dubuque Ct., Rockville, MD	
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(2) INVENTOR'S SIGNATURE:

Date:

Name	Prafulla	Gokhale
First	Middle Initial	Family Name
Residence	McLean	Virginia
City	State/Foreign Country	Country of Citizenship
Mailing Address	1933 Kennedy Dr., #T1, McLean, VA	
(include Zip Code)	22102	

☒ FOR ADDITIONAL INVENTORS see attached page.

☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P0280652

(M#)

DECLARATION AND POWER OF ATTORNEY

(continued)

ADDITIONAL INVENTORS:**(3) INVENTOR'S SIGNATURE:****Date:**

	Chuanbo		Zhang
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) INVENTOR'S SIGNATURE:**Date:**

	Anatoly		Dritschilo
	First	Middle Initial	Family Name
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	City	State/Foreign Country	Country of Citizenship
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(include Zip Code)	20817		

(5) INVENTOR'S SIGNATURE:**Date:**

	Aquilur		Rahman
	First	Middle Initial	Family Name
Residence	Long Grove	Illinois	USA
	City	State/Foreign Country	Country of Citizenship
Mailing Address	4431 Pebble Creek Lane, Long Grove, IL		
(include Zip Code)	60047		

(6) INVENTOR'S SIGNATURE:**Date:**

	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(7) INVENTOR'S SIGNATURE:**Date:**

	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(8) INVENTOR'S SIGNATURE:**Date:**

	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(9) INVENTOR'S SIGNATURE:**Date:**

	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

Rule 56(a) & (b) = 37 C.F.R. 1.56(a) & (b)
PATENT AND TRADEMARK CASES - RULES OF PRACTICE
DUTY OF DISCLOSURE

- (a) ...Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the [Patent and Trademark] Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability... (b) information is material to patentability when it is not cumulative and (1) It also establishes by itself, or in combination with other information, a prima facie case of unpatentability of a claim or (2) refutes, or is inconsistent with, a position the applicant takes in: (i) Opposing an argument of unpatentability relied on by the Office, or (ii) Asserting an argument of patentability

PATENT LAWS 35 U.S.C.

§102. Conditions for patentability; novelty and loss of right to patent

A person shall be entitled to a patent unless--

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months* before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

§103. Condition for patentability; non-obvious subject matter

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made. . . .
- (c) Subject matter developed by another person, which qualified as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

* Six months for Design Applications (35 U.S.C. 172).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of

Kkasid, et al.

USPN 08/957,327

Filed: October 24, 1997

Title: LIPOSOMES CONTAINING OLIGONUCLEOTIDES

ASSOCIATE POWER OF ATTORNEY

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

June 4, 1999

Sir:

As an attorney of record in the cited application, I hereby grant to Robin Teskin, Reg. No. 35,030, an associate power of attorney to transact business associated therewith before the Patent and Trademark Office.

Respectfully Submitted;



Gienna Hendricks, Reg. No. 32535

DECLARATION AND POWER OF ATTORNEY

As below named inventors, We hereby declare that:

We believe we are the original, first and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled : "LIPOSOMES CONTAINING OLIGONUCLEOTIDES", which is filed herewith.

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37 CFR 1.56(a).

We hereby claim the benefit of priority on basis of the Provisional Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. 112, we acknowledge the duty to disclose material information as defined in 37 CFR 1.56(a) which occurred between the filing date of the prior application and the filing date of this application:

Prov. Patent Appl. 60/041,192 Filing date: March 21, 1997

We hereby appoint the following attorneys and agents, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:
Glenna Hendricks, Reg. No. 32,535
Carol Tracy, Reg. No. 34,976

Address all telephone calls to Glenna Hendricks. Please address all correspondence to:

Glenna Hendricks
Hendricks and Associates
P.O. Box 2509
Fairfax, VA 22031-2509 Telephone (703) 591-4470

We hereby further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Usha Kasid
Inventor

Usha Kasid
Inventor's signature

10/17/97
date

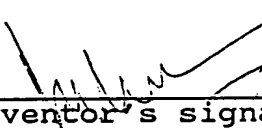
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U.S.A.
Citizenship

7/15/99

Prafulla Gokhale
Inventor


Inventor's signature

10/13/97
date

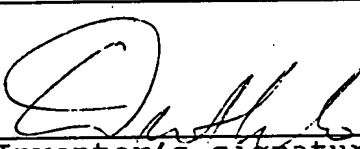
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date


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Citizenship

Aquilur Rahman
Inventor


Inventor's signature

10.14.97
date

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Home address

U.S.A.

Citizenship

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
Kasid, et al.
Patent Application filed herewith
Filed:
For: LIPOSOMES CONTAINING OLIGONUCLEOTIDES

DECLARATION CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9 (f) & 1.27(d))--NONPROFIT ORGANIZATION

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf
of the nonprofit organization identified below:

Georgetown University

Washington, D.C.

The above named institution is an institution of higher learning.
I hereby declare that the nonprofit organization identified above
qualifies as a nonprofit organization as defined in 37 CFR 1.9(e)
for purposes of paying reduced fees to the United States Patent
and Trademark Office regarding the invention entitled :

LIPOSOMES CONTAINING OLIGONUCLEOTIDES

I acknowledge the duty to file, in this application or patent,
notification of any change in status resulting in loss of enti-
tlement to small entity status prior to paying, or at the time of
paying, the earliest issue fee or any maintenance fee due after
the date on which status as a small entity is no longer ap-
propriate.

I hereby declare that all statements made herein of my own
knowledge are true and that all statements made on information
and belief are believed to be true; and further that those state-
ments were made with the knowledge that willful false statements
and the like so made are punishable by fine or imprisonment, or
both, under section 1001 of Title 18 of the United States Code,
and that such willful false statements may jeopardize the valid-
ity of the application, any patent issuing thereon, or any patent
to which this declaration statement is directed.

For Georgetown University
37th and O Streets, N.W., Washington, D.C. 20057

10/21/97
Date

Address